



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,224	06/26/2001	Guojun Zhou	P 280337 P11803

00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102



CONFIRMATION NO. 8571

FORMALITIES LETTER



OC00000006443369

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$606.
 - \$126 for 7 total claims over 20.
 - \$480 for 6 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1446.

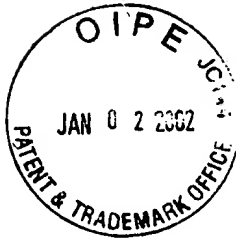
*A copy of this notice **MUST** be returned with the reply.*

A.T.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 KZWDIE 00000013 033975 09891224
01 FC:101 740.00 DP
02 FC:105 130.00 DP
03 FC:102 504.00 DP
04 FC:103 72.00 DP
54.00 CH



PATENT
81674-280337

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
ZHOU, Guojun

Serial No.: 09/891,224

Filed: June 26, 2001

For: **STATISTICAL SPOKEN DIALOG SYSTEM**

Art Unit: 2641

Examiner: Not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington D.C. 20231, on October 17, 2001.

By: 

Roger R. Wise, Reg. No. 31,204

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated August 17, 2001, response to which is due October 17, 2001, enclosed are the following:

- X A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- X A "Declaration and Power of Attorney" executed by the inventor(s).
- X An "Assignment" document executed by the inventor(s), along with an Assignment Recordation Cover Sheet and a check in the amount of \$40.00.
- X A check in the amount of \$710.00 to cover the filing fee.
- X A check in the amount of \$480.00 to cover the fee for independent claims.

X A check in the amount of \$126.00 to cover the fee for additional claims.

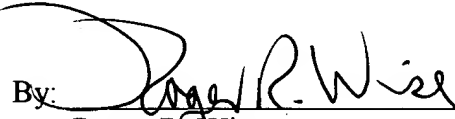
X A check in the amount of \$130.00 to cover the surcharge for late Declaration.

The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment in connection with this matter to our Deposit Account No. 03-3975. A copy of this letter is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: October 17, 2001

By: 

Roger R. Wise
Registration No. 31,204
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033